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BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD
SEVENTH FLOOR
LOS ANGELES, CA 90025-1030

EXAMINER

CODY, DILLON J

ART UNIT	PAPER NUMBER
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2183

DATE MAILED: 12/23/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 10/611,377	Applicant(s) LIPPINCOTT ET AL.	
	Examiner Dillon Cody	Art Unit 2183	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 30 June 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-29 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-29 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 30 June 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>9/29/03, 7/18/05</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-29 are pending.

Papers Filed

2. Examiner acknowledges receipt of claims, disclosure, information disclosure statement and drawings, all filed 30 June 2003; declaration filed 9 January 2004; and a second information disclosure statement filed 18 July 2005.

Title

3. The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.

Specification

4. The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

Claim Objections

5. A series of singular dependent claims is permissible in which a dependent claim refers to a preceding claim which, in turn, refers to another preceding claim.

A claim which depends from a dependent claim should not be separated by any claim which does not also depend from said dependent claim. It should be kept in mind that a dependent claim may refer to any preceding independent claim. In general, applicant's sequence will not be changed. See MPEP § 608.01(n). Since claim 24 depends directly on claim 17, it should not appear after claim 23, which does not depend on claim 17.

6. Claim 11 is objected to because of the following informalities:

-Claim 11, line 5: "anyone" should read "any one"

Appropriate correction is required.

Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

8. Claims 1-7, 15-16, and 27-29 are rejected under 35 U.S.C. 102(b) as being anticipated by Gove et al. (U.S. Patent No. 5,613,146) hereinafter referred to as Gove.

9. As per claim 1, Gove discloses a data driven processing method, comprising:
providing a first set of instructions and incoming data to a first processing unit (Fig. 1 master processor 12), of a data driven processor, to operate upon said incoming data;

configuring a data path for transferring data between a second processing unit (Fig. 1 parallel processor 100) of the data driven processor and external memory (Fig. 1 memory 15);

and the first processing unit, in response to recognizing that the first set of instructions will require one of reading from and writing to external memory, provides addressing information to a memory access unit of the processor to enable the transfer of additional data between the external memory and the second processing unit via said data path. (Col. 34 line 60 – Col. 35 line 6)

10. As per claim 2, Gove discloses the method of claim 1 wherein the first processing unit recognizes an image processing motion vector in said first set of instructions, and said additional data is to be written to the external memory and includes a macro block generated by the second processing unit based on the motion vector. *The examiner asserts that “image processing motion vector” and “macro block” constitute image processing data. Gove discloses “an image and graphics processor” in his abstract.*

11. As per claim 3, Gove discloses the method of claim 1 wherein the data path is configured by an external host controller (Fig. 3 host 33 and Col. 8 line 67 – Col. 9 line 3).

12. As per claim 4, Gove discloses the method of claim 1 further comprising: the first processing unit providing an indication to the memory access unit of whether the

transfer is one of a read and a write. (Col. 37 lines 18-24) *The examiner asserts that telling the transfer processor whether it is to perform a read or write is inherent in Gove's invention. Without a read/write indication, the processor would not function correctly.*

13. As per claim 5, Gove disclose a data processor comprising: a first direct memory access (DMA) unit (Fig. 1 transfer processor 11); and a plurality of processing units (Fig. 1 processors 100-103) each having a plurality of data ports (Fig. 30 ports 3005 and 3006), the data ports being coupled to each other (*The examiner asserts that the ports are connected to each other through the address unit 3001 and data unit 3000*) and programmable to allow data flow from any one of the processing units to another and from any one of the processing units to the DMA unit (Col. 3 line 1-2), wherein one of the processing units has a control port from which it is to send information to the DMA unit about setting up a DMA channel through which one of data to be consumed and result data by one of the processing units is transferred (Col. 37 lines 18-24). *The examiner asserts that data can flow from any processor to any other processor by means of memory.*

14. As per claim 6, Gove discloses the processor of claim 5 further comprising: memory interface circuitry (Fig. 1 transfer processor 11), wherein the DMA unit is to access external memory via the memory interface circuitry. *The examiner asserts that fig. 1 shows the transfer processor connected to the external memory 15.*

15. As per claim 7, Gove discloses the processor of claim 6 wherein the memory interface circuitry is on-chip with the DMA unit, the plurality of processing units, and the host interface. *The examiner asserts that Fig. 1 discloses an on-chip region denoted by the hashed line and labeled "ISP Chip Node" which includes the transfer processor 11, parallel processors 100-103 and host interface 21.*

16. As per claim 15, Gove discloses the processor of claim 5 further comprising a central processing unit (Fig. 1 master processor 12) to read and execute instructions that configure the data ports and the DMA unit (Fig. 1 transfer processor 11) to create a data channel from one of the processing units to external memory. (Col. 34 line 60 – col. 35 line 6)

17. As per claim 16, Gove discloses the processor of claim 5 further comprising a host interface unit (Fig. 1 transfer processor 11) to receive instructions, from an external host controller (Fig. 3 host 33), that configure the data ports and the DMA unit to create a data path from one of the processing units to external memory. (Col. 8 line 67 – Col. 9 line 3)

18. As per claim 27, Gove discloses a data processor comprising: means for translating higher level read and write commands into lower level memory access commands (Fig. 1 transfer processor 11, Col. 58 lines 39-42); a plurality of means for

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consuming data (Fig. 1 processors 100-103); means for implementing programmable data paths to supply data to and accept data from any one of said plurality of data consumption means (*The examiner asserts that in response to instructions, the parallel processors make reads and writes to and from memory to exchange data*); means for receiving instructions, from other than said plurality of data consumption means, to configure the programmable data path implementation means, the plurality of data consumption means, and the higher level read and write translation means (*Master Processor 12 and col. 13 lines 25-35*); and means for implementing a programmable control path to transfer higher level read and write commands from one of said plurality of data consumption means to the higher level read and write translation means. *The examiner asserts that packet request signals generated by the processors constitute high-level reads which must be translated to interface with the memory by the transfer processor 11. The similar is true of write commands.*

19. As per claim 28, Gove discloses the processor of claim 27 further comprising means for ensuring that said lower level memory accesses meet signal level and timing requirements of external memory. *The transfer processor must inherently meet signal and timing requirements of external memory if it is to be successful in carrying out memory transactions. Without successful memory transactions, the invention would be inoperable.*

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20. As per claim 29, Gove discloses the processor of claim 27 further comprising means for expanding the data processor. (Col. 8 lines 59-61)

Claim Rejections - 35 USC § 103

21. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

22. Claims 8, 17-20, 22-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gove.

23. As per claim 8, Gove discloses the processor of claim 6 wherein the memory interface circuitry is designed to interface with external memory. (Fig. 1 and col. 3 line 1-2)

24. Gove fails to disclose that the external memory is dynamic random access memory.

25. Dynamic random access memory (DRAM) is extremely well known to a person of ordinary skill in the art.

26. DRAM has the benefits of being cheaper than SRAM and of requiring a smaller physical space to store an equal amount of data. Cost-minimization is a desired feature in all processing environments, including that disclosed by Gove.

At the time of invention it would have been obvious to one of ordinary skill in the art to have included DRAM as external memory 15 in Gove's image processing invention for the benefit of lower cost.

27. As per claim 17, Gove discloses a system comprising: a host controller (Fig. 3 host 33); external memory (fig. 1 memory 15); a data driven processor having a memory access unit (fig. 1 transfer processor 11) to interface the external memory, a plurality of processing units (fig. 1 processors 100-103) each having a plurality of data ports (Fig. 30 ports 3005 and 3006), the data ports being coupled to each other (*The examiner asserts that the ports are connected to each other through the address unit 3001 and data unit 3000*) and programmable to allow data flow from any one of the processing units to another and from any one of the processing units to the memory access unit

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(Col. 3 line 1-2), and a host interface unit (Fig. 1 transfer processor 11); to receive instructions from the external host controller that configure the data ports and the memory unit to create a data path from one of the processing units through a data channel to the external memory (Col. 8 line 67 – col. 9 line 3), wherein one of the processing units has a control port which it uses to write data location information to the memory access unit (Col. 13 lines 1-7);

28. Gove fails to expressly disclose one of a rechargeable battery and a fuel cell coupled to power the external memory, the host controller, and the data driven processor.

29. Gove discloses one embodiment of his invention to be a “handheld imaging personal computer” (Col. 5 line 5-6) Batteries are well known in the field of personal computers and embedded systems.

30. Running a device off of a battery allows the device to be used in environments and situations distant from other power sources. Increased mobility is a beneficial feature of the invention.

31. It would have been obvious to one of ordinary skill in the art at the time of invention to have included batteries for power in the handheld embodiment described by Gove and depicted in Fig. 51 for the benefit of increased mobility.

32. As per claim 18, Gove discloses the system of claim 17 wherein the host controller includes an embedded processor and its associated main memory. *The examiner asserts that Gove refers to host 33 as a Host Processor (Col. 8 line 67).*

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Further, a processor inherently has memory associated with it. If it did not, the processor could not function.

33. As per claim 19, Gove discloses the system of claim 17 wherein the coupling of each pair of data ports from adjacent processing units is a point-to-point connection.

The examiner asserts that all data ports connect directly with data ports of the memory 10 from figure 1. These connections are made regardless of whether processing units are adjacent or not.

34. Gove fails to teach unidirectional ports on processing units 100-103.

35. Unidirectional ports are extremely well known in the art and provide the benefit of allowing simultaneous read/write to a functional unit.

36. It would have been obvious to one of ordinary skill in the art at the time of invention to have included unidirectional data ports on parallel processors 100-103 in place of their bidirectional ports for the benefit of simultaneous read/write.

37. As per claim 20, Gove discloses the system of claim 19 wherein each of the processing units has a core programming element (PE) (Fig. 30 data unit 3000) that can be programmed to execute instructions that operate on incoming data received via an input data port of that processing unit (Col. 38 lines 26-47), an input PE (Fig. 30 data unit 3000) that can read data from any one of a plurality of input data ports of that processing unit, and an output PE (Fig. 30 data unit 3000) that can write data to any one of a plurality of output data ports of that processing unit. *The examiner asserts that,*

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as pictured in fig. 30, the local and global data ports allow input and output of the data unit 3000.

38. As per claim 22, Gove discloses the system of claim 17 but fails to disclose that the data location information that is sent through the control port includes information about the size and display location of a block of image data.

39. Image location data and size ensure that the image is properly displayed after processing is completed.

40. Including image location and size data would have been obvious to one of ordinary skill in the art at the time of invention for the benefit of ensuring the image appears in the proper location on the display.

41. As per claim 23, Gove discloses a system comprising: external memory (Fig. 1 memory 15); a data driven processor having a memory access unit (fig. 1 transfer processor 11) to interface the external memory, a plurality of processing units (fig. 1 processors 100-103) each having a plurality of data ports (Fig. 30 ports 3005 and 3006), the data ports being coupled to each other (*The examiner asserts that the ports are connected to each other through the address unit 3001 and data unit 3000*) and programmable to allow data flow from any one of the processing units to another and from any one of the processing units to the memory access unit (Col. 3 line 1-2), and a central processing unit (fig. 1 master processor 12) to receive and execute instructions that configure the data ports and the memory unit to create a data path from one of the

processing units through a data channel to the external memory (col. 13 lines 25-31), wherein one of the processing units has a control port which it uses to write data channel information to the memory access unit (Col. 13 lines 1-7);

42. Gove fails to disclose one of a rechargeable battery and a fuel cell coupled to power the external memory and the data driven processor.

43. It would be obvious to include batteries in the handheld embodiment disclosed by Gove for the reasons previously discussed in the rejection of claim 17.

44. As per claim 24, Gove discloses the system of claim 17 wherein each of the processing units has a plurality of control ports that are connected to each other in a mesh arrangement so that the data channel information, including one of a read and write command, address, and memory access unit channel identifier, can originate from any one of the processing units and be routed to the memory access unit via a logical control channel programmed in the mesh arrangement. *The examiner asserts that the packet request signal 3012 (Fig. 30) can originate from any parallel processor in MIMD mode. Col. 37 lines 17-20 disclose that these signals propagate to the transfer controller.*

45. As per claim 25, Gove discloses the system of claim 23 wherein the coupling of each pair of data ports from adjacent processing units is a point-to-point connection. *The examiner asserts that all data ports connect directly with data ports of the memory*

10 from figure 1. These connections are made regardless of whether processing units are adjacent or not.

46. Gove fails to teach unidirectional ports on processing units 100-103.

47. Unidirectional ports are extremely well known in the art and provide the benefit of allowing simultaneous read/write to a functional unit.

48. It would have been obvious to one of ordinary skill in the art at the time of invention to have included unidirectional data ports on parallel processors 100-103 in place of their bidirectional ports for the benefit of simultaneous read/write.

49. As per claim 26, Gove discloses the system of claim 23 wherein each of the processing units has a plurality of control ports (Fig. 30 ports 3011-3013) that are coupled to each other and are programmable to allow data channel information to be sent from any one of the processing units to the memory access unit. (Col. 3 lines 1-2)

50. Claims 9-12 and 21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gove in view of Meeker (U.S. Patent No. 6,073,185).

51. As per claim 9, Gove discloses the processor of claim 5 wherein the plurality of processing units are essentially identical units but fails to disclose each having a plurality of sides, each side having a plurality of unidirectional data ports being an input port and an output port.

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52. Meeker discloses processor cells (Fig. 3 cell 301) having a plurality of sides, each side having a plurality of unidirectional data ports (Fig. 3 ports 314-321) being an input port and an output port. (Col. 7 lines 57-64)

53. Meeker discloses his invention to “improve computation speed in a SIMD processor” (Col. 2 line 9-10) which is in accordance with a desired outcome of Gove’s invention, “faster calculation times” (Col. 1 line 57-58).

54. It would have been obvious to one of ordinary skill in the art at the time of invention to have included Meeker’s processing cell arrangement in Gove’s multiprocessor for the benefit of faster calculation times.

55. As per claim 10, Gove and Meeker disclose the processor of claim 9 wherein the input port is programmable to route incoming data to any one of the output ports. (Meeker Col. 5 lines 57-60)

56. As per claim 11, Gove and Meeker disclose the processor of claim 10 wherein each of the plurality of processing units has a plurality of control ports (Meeker Fig. 3 ports 314-321) on each side including an input control port (Meeker Fig. 3 ports 314, 316, 318, 320) and an output control port (Meeker Fig. 3 ports 315, 317, 319, 321) (Meeker Col. 7 lines 57-64), and wherein the input control port of a processing unit is programmable to route incoming command information to anyone of the output control ports (Meeker Col. 5 lines 57-60) of said processing unit. *The examiner asserts that*

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input data to each processing cell constitutes control data. Input data controls which values are processed by the cell.

57. As per claim 12, Gove and Meeker disclose the processor of claim 9 further comprising an interface to an external device, and wherein the output ports of one of said processing units are coupled to the input ports of an adjacent one of said processing units except that some of the output ports of an outlying one of said processing units are coupled to the external device interface. (Meeker Col. 4 lines 33-35)

58. As per claim 21, Gove and Meeker disclose the system of claim 20 wherein the core PE of each processing unit can execute its instructions independently of a data path that is operating through a pair of said input and output data ports of that processing unit. (Meeker col. 6 lines 1-7)

59. Claims 13-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gove and Meeker in view of Taylor et al. (Taylor, D. E., Turner, J. S., Lockwood, J. W. "Dynamic Hardware Plugins (DPH): Exploiting Reconfigurable Hardware for High-Performance Programmable Routers", Open Architectures and Network Programming Proceedings, 2001 IEEE 27-28 April 2001, Piscataway, NJ, XP010538879, ISBN: 0-7803-7064-3. Pages 25-34.) hereinafter referred to as Taylor.

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60. As per claim 13, Gove and Meeker disclose the processor of claim 9 but fail to disclose it further comprising: a second DMA unit, wherein there are at least four of said plurality of processing units, the data ports on a north side of first and second ones of said four processing units are coupled to the first DMA unit, the data ports on a south side of third and fourth ones of said four processing units are coupled to the second DMA unit, and the data ports of a south side of the first and second processing units are coupled to the data ports of a north side of the third and fourth processing units.

61. Taylor discloses a multiprocessor comprising: a second DMA unit (Fig. 2 memory interfaces), wherein there are at least four of said plurality of processing units, the data ports on a north side of first and second ones of said four processing units are coupled to the first DMA unit, the data ports on a south side of third and fourth ones of said four processing units are coupled to the second DMA unit, and the data ports of a south side of the first and second processing units are coupled to the data ports of a north side of the third and fourth processing units. *The examiner asserts that two processors are shown connected to the first DRAM by means of a memory interface, two others are connected to a second DRAM. The four processors are interconnected by means of the application controller.*

62. Taylor discloses his invention to as a “mechanism for implementing high-performance programmable routers” (Page 1, abstract, line 7-8) and “capable of robust flow-specific processing” (Page 1, introduction, line 4) which are in accordance with Gove and Meeker’s goals of increasing processing and computation speed.

63. At the time of invention is would have been obvious to one of ordinary skill in the art to have included Taylor's method of including a second memory interface opposite the first in Gove and Meeker's multiprocessor for the benefit of implementing robust, high-performance processing.

64. As per claim 14, Gove, Meeker and Taylor disclose the processor of claim 13 further comprising an interface to an external device, wherein some of the data ports of east and west sides of the processing units are coupled to the external device interface. (Meeker col. 6 lines 1-7)

Conclusion

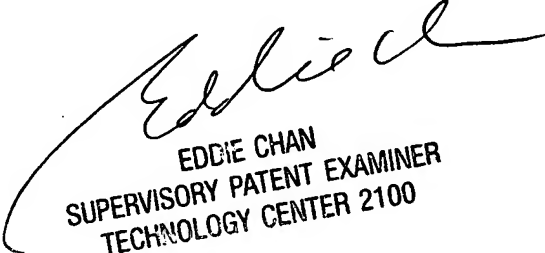
65. The following is text cited from 37 CFR 1.111(c): In amending in reply to a rejection of claims in an application or patent under reexamination, the applicant or patent owner must clearly point out the patentable novelty which he or she thinks the claims present in view of the state of the art disclosed by the references cited or the objections made. The applicant or patent owner must also show how the amendments avoid such references or objections.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dillon Cody whose telephone number is 571-272-8401. The examiner can normally be reached on Mon - Fri, 8 AM - 5 PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eddie Chan can be reached on 571-272-4162. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

DJC



EDDIE CHAN
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100